

ATTORNEY'S DOCKET NUMBER

10/549617

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.

PCT/GB2004/001707

INTERNATIONAL FILING DATE

21 APRIL 2004 (21.04.2004)

PRIORITY DATE CLAIMED

25 APRIL 2003 (25.04.2003)

TITLE OF INVENTION

TRACHEOSTOMY DEVICE

APPLICANT(S) FOR DO/EO/US

Thomas GOSTELOW

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter
16. ☒ Other items or information:

Notification of Transmittal of the International Preliminary Examination Report - Form PCT/IPEA/416
International Preliminary Examination Report - Form PCT/IPEA/409

17. ☒ The inventor signed the Declaration under Rule 4.17(iv)) (U.S. Declaration) in the PCT Request Form.

☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)).

Search Report has been prepared by the EPO or JPO \$890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

..... \$490.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$750.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$1,000.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate		
Total Claims	10-20 =		X \$18.00	\$0.00	
Independent Claims	1- 3 =		X \$84.00	\$0.00	

Multiple dependent claim(s)(if applicable)

+ \$280.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$1,000.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than 12 months from the earliest claimed priority date (37 CFR 1.492(e)).

Adjustment Date: 12/27/2005
09/27/2005 GFREY
02 FC:1632

12/27/2005 WALVARAD
00000040 500501
500.00 CR

10549617

TOTAL NATIONAL FEE =

\$1,000.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$1,000.00

Amount to be:
refunded

\$

12/27/2005 WALVARAD 00000040 500501 10549617
Sale Ref: 00000005 DAH: 500501 changed
01 FC:1642 400.00 DA 10549617

- a. ☐ A check in the amount of \$1,000.00 to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-0501 in the amount of \$ 1,000.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status
SEND ALL CORRESPONDENCE TO:

LAW OFFICE OF LOUIS WOO
717 N. Fayette Street
Alexandria, Virginia 22314

SIGNATURE

Louis Woo

NAME

31,730

REGISTRATION NUMBER

Best Available Copy